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May 16, 2002

\*NOT ADMITTED IN NEW YORK

## via Express Mail

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231. Elie H. Gendloff

Re: U.S. Patent Application Serial No. 10/014,320

Title: METHODS FOR DIAGNOSING A PRE-NEOPLASTIC OR

NEOPLASTIC LESION IN TRANSITIONAL EPITHELIAL CELLS

Siamak Tabibzadeh Inventor:

Our File: 50425/137

Sir:

Enclosed please find the following documents for filing with the aboveidentified application in the name of Siamak Tabibzadeh, entitled METHODS FOR DIAGNOSING A PRE-NEOPLASTIC OR NEOPLASTIC LESION IN TRANSITIONAL **EPITHELIAL CELLS:** 

- an Amendment and Response to Notice to File Missing Parts of 1. Nonprovisional Application with a one month extension of time request contained therein (4 pages) and attached thereto Marked-Up Specification Amendments (1 page);
- 2. a copy of the Notice to File Missing Parts of Nonprovisional Application ... as required (2 pages);
- 3. an originally signed Declaration (2 pages);
- an originally signed Power of Attorney (1 page); 4.

- 5. a Sequence Listing in both computer-readable form (one diskette) and paper copy (5 pages);
- 6. an Amster, Rothstein & Ebenstein check in the amount of \$544.00 to cover the basic filing fee (\$370), six excess claims over 20 fee (\$54), late declaration surcharge (\$65), and a one month extension of time fee (\$55), for small entity status; and
- 7. a Return receipt postcard.

Small entity status was previously established and is still proper.

Please acknowledge receipt of the enclosed documents by stamping the enclosed postcard and returning same.

Respectfully submitted,

AMSTER, ROTHSTEIN & EBENSTEIN Attorneys for Applicants 90 Park Avenue New York, New York 10016 (212) 697-5995

Dated: New York, New York

May 16, 2002

Elie H. Gendloff

Registration No. 44,704



Patent and Trademark Office

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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/014.320

12/11/2001

Siamak Tabibzadeh

50425/137

**CONFIRMATION NO. 1488 FORMALITIES LETTER** 

\*OC000000075181111\*

Craig J. Arnold Amster, Rothstein & Ebenstein 90 Park Avenue New York, NY 10016

Date Mailed: 02/22/2002

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$108.
  - \$108 for 6 total claims over 20.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 978.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be

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submitted in lieu of a new CRF.

# For questions regarding compliance to these requirements, please contact:

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PART 2 - COPY TO BE RETURNED WITH RESPONSE